

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
19 July 2001 (19.07.2001)

PCT

(10) International Publication Number  
**WO 01/51043 A2**

(51) International Patent Classification<sup>7</sup>: A61K 31/00

(21) International Application Number: PCT/IB01/00186

(22) International Filing Date: 12 January 2001 (12.01.2001)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
09/481,963 12 January 2000 (12.01.2000) US

(71) Applicant (*for all designated States except US*): KGK SYNERGIZE [CA/CA]; The University of Western Ontario Research Park, Suite 130, 100 Collip Circle, London, Ontario N6G 4X8 (CA).

(72) Inventors; and

(75) Inventors/Applicants (*for US only*): GUTHRIE, Najla [CA/CA]; 389 Dundas Street, London, Ontario N6B 3L5 (CA). KUROWSKA, Elzbieta, Maria [CA/CA]; 999 St Croix Avenue, London, Ontario N6H 3X8 (CA).

(81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.

(84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

**Published:**

— without international search report and to be republished upon receipt of that report

*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

BEST AVAILABLE COPY



WO 01/51043 A2

(54) Title: COMPOSITIONS AND METHODS FOR TREATING LIMONOIDS, FLAVONOIDS AND TOCOTRIENOLS

ATTORNEY DOCKET NUMBER: 11592-006-999  
SERIAL NUMBER: 10/088,664  
REFERENCE: B28

(57) Abstract: Compositions and methods for the prevention and treatment of neoplastic diseases are described. Individuals at a high risk of developing or having neoplasia undergoing conventional therapies may be treated with an effective dose of triterpene derivatives in limonoids, polyphenolic flavonoid compounds, tocotrienols or a combination of these agents.

**COMPOSITIONS AND METHODS FOR TREATMENT OF NEOPLASTIC  
DISEASES WITH COMBINATIONS OF LIMONOIDS,  
FLAVONOIDS AND TOCOTRIENOLS.**

## 1. CROSS REFERENCE TO RELATED APPLICATIONS

This application is a Continuation-In-Part of the co-pending U.S. Patent Application Serial No. 08/938,640, filed September 26, 1997, the entire disclosure of which is incorporated by reference.

## 2. BACKGROUND OF THE INVENTION

The present invention relates to compositions and methods for the prevention and treatment of neoplastic and oncogenic disorders, with combinations of certain limonoids, flavonoids and/or tocotrienols. Limonoids are a group of chemically related triterpene derivatives found in the Rutaceae and Meliaceae families. Limonoids are among the bitter principals in citrus juices such as lemon, lime, orange and grapefruit. Flavonoids are polyphenolic compounds that occur ubiquitously in plant foods especially in orange, grapefruit and tangerine. Tocotrienols are present in palm oil and are a form of vitamin E having an unsaturated side chain. In the practice of the cancer prevention and/or treatment of the invention the limonoids, flavonoids and tocotrienols are used to inhibit the development progression and proliferation of cancer cells. Preferred compositions of the invention are those which specifically or preferentially prevent transformation of preneoplastic cells to tumor cells, and prevent or inhibit tumor cell proliferation, invasion and metastasis without general cytotoxic effects.

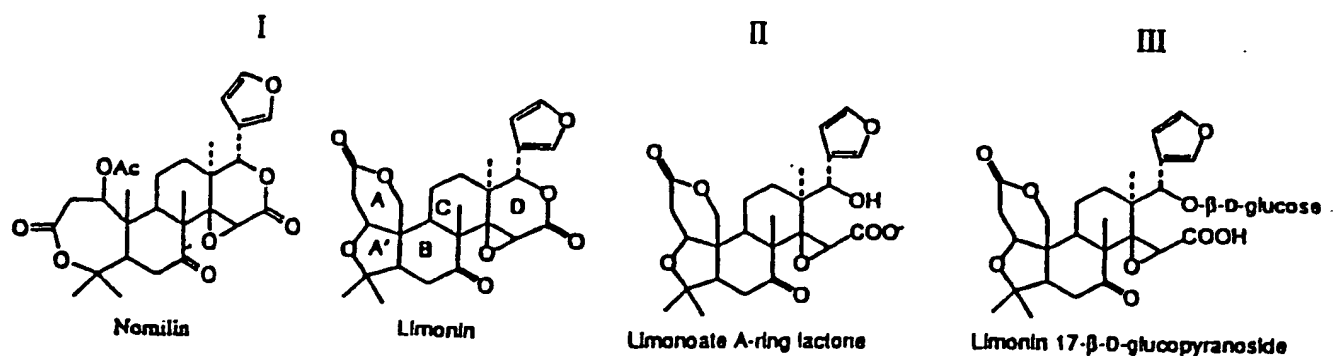
### 2.1 Limonoids.

Limonoids are a group of chemically related triterpene derivatives found in the Rutaceae and Meliaceae families. Limonoids are among the bitter principles found in citrus fruits such as lemons, lime, orange and grapefruit. They are also present as glucose derivatives in mature fruit tissues and seed, and are one of the major secondary metabolites

present in Citrus. Limonoids have been found to have anti-carcinogenic activity in laboratory animals. The furan moiety attach to the D-ring is specifically responsible for detoxifying of the chemical carcinogen glutathione – S - transferase enzyme system (Lam, et al., 1994, Food Technology 48:104-108).

Citrus fruit tissues and byproducts of juice processing such as peels and molasses are sources of limonoid glucosides and citrus seed contain high concentrations of both limonoid aglycones and glucosides. Limonoid aglycones in the fruit tissues gradually disappear during the late stages of fruit growth and maturation.

Thirty-eight limonoid aglycones have been isolated from Citrus. The limonoids are present in three different forms: the dilactone (I) is present as the open D-ring form (monolactone), the limonoate A-ring lactone (II) and the glucoside form (III). Only the monolactones and glucosides are present in fruit tissues. (Hasegawa S. et al., 1994, in Food Phytochemicals for Cancer Prevention I, eds M-T. Huang et al, American Chemical Society, 198-207).

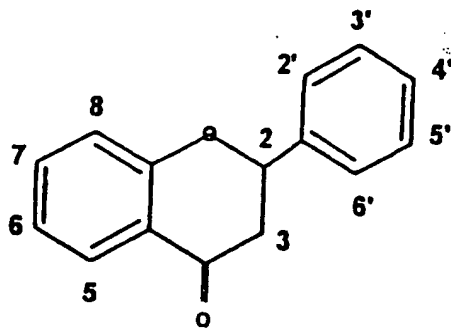


Compound III is the predominant limonoid glucoside found in all juice samples. In orange juice it comprises 56% of the total limonoid glucosides present, while in grapefruit and lemon juices, it comprises an average of 63% to 66% respectively. Procedures for the extraction and isolation of both aglycones and glucosides have been established to obtain concentrated sources of various limonoids (Lam, L.K.T. et al., 1994, in Food

Phytochemicals for Cancer Prevention, eds. M. Huang, T. Osawa, C. Ho and R.T. Rosen, ACS Symposium Series 546, p 209). The use of limonoids in combination with a flavonoid, tocotrienol, a cancer chemotherapeutic agent, or a combination of any one of these agents, has not been reported for the prevention and treatment of neoplastic diseases.

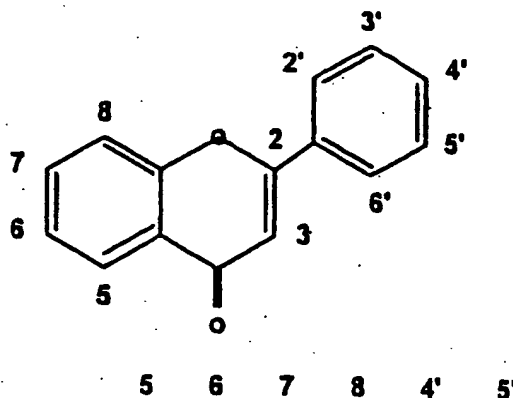
## 2.2 Flavonoids.

Epidemiological studies have shown that flavonoids present in the Mediterranean diet may reduce the risk of death from coronary heart disease (Hertog, M.G. et al., 1993, Lancet: 342, 1007-1011). Soybean isoflavones for example, genistein, which is a minor component of soy protein preparations may have cholesterol-lowering effects (Kurowska, E.M. et al., 1990, J. Nutr. 120:831-836). The flavonoids present in citrus juices such as orange and grapefruit include, but are not limited to, hesperetin and naringenin respectively.



	5	7	3'	4'
HESPERETIN	OH	OH	OH	OCH <sub>3</sub>
NARINGENIN	OH	OH	—	OH

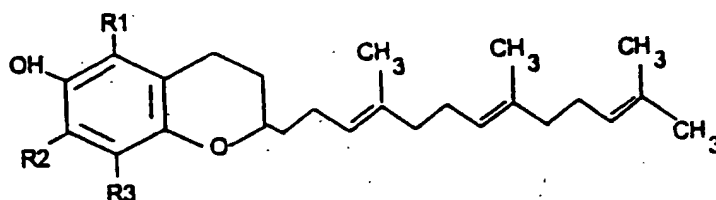
The flavonoids present in tangerine include, but are not limited to tangeretin or nobiletin. These flavonoids were found to inhibit growth of both estrogen receptor-negative (ER-) and positive (ER+) breast cancer cells in culture and act synergistically with tamoxifen and tocotrienols (Guthrie N. et al., 1996, Proc. Am. Inst. Cancer Res., Abs. #8).



TANGERETIN      O CH<sub>3</sub>, O CH<sub>3</sub>, O CH<sub>3</sub>, O CH<sub>3</sub>, O CH<sub>3</sub>, —  
 NOBILETIN        O CH<sub>3</sub>, O CH<sub>3</sub>, O CH<sub>3</sub>, O CH<sub>3</sub>, O CH<sub>3</sub>, O CH<sub>3</sub>

### 2.3 Tocotrienols in Palm Oil.

Tocotrienols are present in palm oil and are a form of vitamin E having an unsaturated side chain. They include, but are not limited to alpha-tocotrienol, gamma-tocotrienol or delta-tocotrienol.



	R1	R2	R3
α-tocotrienol	CH <sub>3</sub>	CH <sub>3</sub>	CH <sub>3</sub>
γ-tocotrienol	H	CH <sub>3</sub>	CH <sub>3</sub>
δ-tocotrienol	H	H	CH <sub>3</sub>

### 2.4 Cancer Growth and Chemotherapy.

Cancer is a disease of inappropriate tissue accumulation. Chemotherapeutic agents share one characteristic: they are usually more effective in killing or damaging malignant cells than normal cells. However, the fact that they do harm normal cells indicates their potential for toxicity. Animal tumor investigations and human clinical trials have shown that drug combinations produce higher rates of objective response and longer survival than single agents. Combination drug therapy is, therefore, the basis for most chemotherapy employed at present (DeVita, V.T. et.al., 1995, Cancer 35:98).

Cancer treatment requires inhibitions of a variety of factors including tumor cell proliferation, metastatic dissemination of cancer cells to other parts of the body, invasion, tumor-induced neovascularization, and enhancement of host immunological responses and cytotoxicity. Conventional cancer chemotherapeutic agents have often been selected on the basis of their cytotoxicity to tumor cells. However, some anticancer agents have adverse effects on the patient's immune system. Thus it would be greatly advantageous if a cancer therapy or treatment could be developed that would afford non-cytotoxic protection against factors that might lead to progression of tumors.

Because hormone therapy as well as chemotherapy is effective in controlling advanced breast cancer, it has been used as an adjuvant to mastectomy in primary breast cancer. Patients with ER+ or ER- tumors benefit from adjuvant chemotherapy. However, tamoxifen used alone as an adjuvant to mastectomy for breast cancer shows benefit in extending disease-free and overall survival (Cummings, F.J. et al., 1985, Ann. Intern. Med. 103;324).

### 3. SUMMARY OF THE INVENTION

The present invention is directed to compositions and methods for the prevention and/or treatment of neoplastic diseases, which involves using a combination composition of limonoids, flavonoids and/or tocotrienols to treat an individual at high risk for, or suffering from cancer.

The present invention is also directed to compositions and methods for the prevention and/or treatment of different types of cancer, which involves using a combination composition of limonoids and flavonoids to an individual at high risk or suffering from such cancer.

The present invention is also directed to compositions and method for the prevention and/or treatment of cancer, which involves using a combination composition of

flavonoids and tocotrienols to an individual at high risk or suffering from cancer.

The present invention is also directed to compositions and methods for the prevention and/or treatment of cancer, which involves using a composition of limonoids, citrus flavonoids, tocotrienols or a chemotherapeutic agent to an individual at high risk or suffering from cancer.

The present invention is directed to compositions and methods for the prevention and for treatment of neoplastic diseases, which involves using an effective dose of a combination of limonoids, flavonoids, and/or tocotrienols with or without conventional chemotherapy or hormonal and/or radiation therapy or surgery, to treat a patient suffering from cancer.

The present invention is also directed to compositions and methods for preventing immune suppression and toxicity induced by anticancer chemotherapeutic agents, using an effective dose of limonoids alone or in combination with flavonoids, to treat a patient suffering from cancer.

#### **4. DETAILED DESCRIPTION OF THE INVENTION**

The compositions and methods of the invention involve administering an effective dose of a limonoid alone or in combination with flavonoids and tocotrienols, an anticancer drug, a chemotherapeutic agent, or a specific combination of these agents, to an individual who is identified as being at enhanced risk for cancer and/or as having cancer, in order to prevent and/or treat cancer.

It may be that the ability of limonoids in combination with flavonoids or tocotrienols, to inhibit tumor cell proliferation, to inhibit the metastatic spread of tumor cells or to prevent immuno-suppression and toxicity induced by chemotherapeutic agents, contributes to their effectiveness in the prevention and treatment of neoplastic diseases. These

possible mechanisms of action are in no way meant to limit the scope of the invention and are presented purely for explanatory and/or illustrative purposes.

#### 4.1 Cancer.

Cancer is the second leading cause of death in the United States, after heart disease (Boring, C.C. et al., 1993, CA Cancer J. Clin. 43:7), and develops in one in three Americans, and one of every four Americans dies of cancer. Cancer can be viewed as a breakdown in the communication between tumor cells and their environment, including their normal neighboring cells. Signals, both growth-stimulatory and growth-inhibitory, are routinely exchanged between cells within a tissue. Normally, cells do not divide in the absence of stimulatory signals, and likewise, will cease dividing in the presence of inhibitory signals. In a cancerous, or neoplastic state, a cell acquires the ability to "override" these signals and to proliferate under conditions in which normal cells would not grow.

In addition to unhindered cell proliferation, cells must acquire several traits for tumor growth to occur. For example, early on in tumor development, cells must evade the host immune system. Further, as tumor mass increases, the tumor must acquire vasculature to supply nourishment and remove metabolic waste. Additionally, cells must acquire an ability to invade adjacent tissue, and ultimately cells often acquire the capacity to metastasize to distant sites.

Cancer of the breast is the most common form of malignant disease occurring among women of the Western World, and it is the most common cause of death among those who are between 40 and 45 years of age.

In North American women, characteristics that are associated with a threefold to fourfold increase in risk for breast cancer include (1) first-degree female family members (mothers and sisters) who had breast cancer, (2) prior breast cancer, (3) nulliparity, (4) age greater than 30 years at first pregnancy and (5) early menarche or late menopause (Sattin, -



R.W. et al, 1985, JAMA 253:1908). International studies have demonstrated a positive correlation between per capita consumption of fat and alcohol (Schatzkin A. et al., 1987, N. Engl. J. Med. 316: 1169) and the incidence of breast cancer. (Carroll K. K., 1980, J. Env.Pathol. Tox. 3: 253-271). Several studies have linked the consumption of fresh fruits and vegetables, and vitamin E with reduced risk of developing cancer, including breast cancer (Steinmetz, K.A. et al., 1991, Cancer Causes Control 2 : 427-442). Although this protective effect has been generally attributed to the antioxidant capacities of vitamin C and beta-carotene present in these foods, it may be related to other phytochemical constituents such as citrus limonoids and flavonoids. The use of limonoids, flavonoids or tocotrienols alone or in combination with each other or with a cancer chemo-therapeutic agent has not been reported for the prevention and treatment of neoplastic diseases.

The present invention provides a number of different limonoids comprising, but not limited to, limonin, nomilin, limonin glucoside or glucoside mixture, flavonoids comprising nobiletin or tangeretin and tocotrienol comprising alpha-tocotrienol, gamma-tocotrienol or delta-tocotrienol.

Cancers that can be prevented and/or treated by the compositions and methods of the present invention include, but are not limited to, human sarcomas and carcinomas, e.g. carcinomas, e.g., colon carcinoma, pancreatic cancer, breast cancer, ovarian cancer, prostate cancer, fibrosarcoma, myxosarcoma, liposarcoma, chondrosarcoma, osteogenic sarcoma, chondroma, angiosarcoma, endotheliosarcoma, lymphangiosarcoma, lymphangioendotheliosarcoma, synovioma, mesothelioma, Ewing's tumor, leiomyosarcoma, rhabdomyosarcoma, squamous cell carcinoma, basal cell carcinoma, adenocarcinoma, sweat gland carcinoma, sebaceous gland carcinoma, papillary carcinoma, papillary adenocarcinomas, cystadenocarcinoma, medullary carcinoma, bronchogenic carcinoma, renal cell carcinoma, hepatoma, bile duct carcinoma, choriocarcinoma, seminoma, embryonal

Types of  
Cancers

carcinoma, Wiims' tumor, cervical cancer, testicular tumor, lung carcinoma, small cell lung carcinoma, bladder carcinoma, epithelial carcinoma, glioma, astrocytoma, medulloblastoma, craniopharyngioma, ependymoma, pinealoma, hemangioblastoma, acoustic neuroma, oligodendroglioma, meningioma, melanoma, neuroblastoma, retinoblastoma, leukemias, e.g., acute lymphocytic leukemia and acute myelocytic leukemia (myeloblastic, promyelocytic, myelomonocytic, monocytic and erythroleukemia); chronic leukemia (chronic myelocytic (granulocytic) leukemia and chronic lymphocytic leukemia); and polycythemia vera, lymphoma (Hodgkin's disease and non-Hodgkin's disease), multiple myeloma, Waldenstrom's macroglobulinemia, and heavy chain disease. Specific examples of such cancers are described in the sections below.

Advances in the study of the role of antioxidants in the maintenance of life from cellular to the most complex organisms has been recognized. Flavonoids consist of at least two phenyl rings separated by a pyran ring. The antioxidant activity of flavonoids critically depends on the part of the polyphenol molecule with better electron-donating properties. The ability of flavonoids to annihilate superoxide, and alkyl peroxy radicals is particularly important. These peroxy radicals are sufficiently unreactive in biological media to escape inconsequential reactions at the site of generation, yet they are precursors of considerably more reactive and damaging hydroxyl and alkoxyl radicals. Quenching of singlet oxygen by flavonoids is very fast and efficient and flavonoids may be involved in the restitution of vitamin E. The use of flavonoids alone or in combination with limonoids, tocotrienols or vitamin E in living organisms offers a promising and beneficial role in prevention and therapy of cancer.

#### 4.2 Dosage and Formulations.

Limonoids, flavonoids or tocotrienols may be formulated into pharmaceutical

preparations for administration to mammals for prevention and treatment of neoplastic and oncogenic diseases.

Many of the limonoids, flavonoids or tocotrienols may be provided as compounds with pharmaceutically compatible counterions, a form in which they may be soluble.

The therapeutic compounds or pharmaceutical compositions may be administered intravenously, intraperitoneally, subcutaneously, intramuscularly, intrathecally, orally, rectally, topically or by aerosol.

Formulations suitable for oral administration include liquid solutions of the active compound dissolved in diluents such as saline, water or PEG 400; capsules or tablets, each containing a predetermined amount of the active agent as solid, granules or gelatin; suspensions in an approximate medium; and emulsions.

Formulations suitable for parenteral administration include aqueous and non-aqueous isotonic sterile solutions, which contain buffers, antioxidants and preservatives. The formulations may be in unit dose or multi-dose sealed containers.

Formulations suitable for topical administration include creams which contain limonoids, flavonoids and/or tocotrienols in various suitable combinations alone or in combination with a chemotherapeutic agent.

Patient dosages for oral administration of limonoids range from 1-500 mg/day, commonly 1-100 mg/day, and typically from 1-100 mg/day. Stated in terms of patient body weight, usual dosages range from 0.01-10 mg/kg/day, commonly from 0.01-2.0 mg/kg/day, typically from 0.01 to 2.0 mg/kg/day.

Patient dosages for oral administration of flavonoids range from 200-5000 mg/day, commonly 1000-2000 mg/day, and typically from 500-1500 mg/day. Stated in terms of patient body weight, usual dosages range from 15-70 mg/kg/day, commonly from 15-30

mg/kg/day, typically from 7-21 mg/kg/day.

Patient dosages for oral administration of tocotrienols range from 1-1200 mg/day, commonly 1-100 mg/day, and typically from 1-60 mg/day. Stated in terms of patient body weight, usual dosages range from 0.01-20 mg/kg/day, commonly from 0.01-2.0 mg/kg/day, typically from 0.01 to 1.0 mg/kg/day.

Dosage amount and interval may be adjusted individually to provide plasma levels of the active moiety which are sufficient to maintain the anti-proliferative and anti-metastatic effects.

Alternatively, one may administer the compound in a local, rather than oral manner, for example, via injection of the compound directly into a tumor, often in a depot or sustained release formulation.

A variety of delivery systems for the pharmacological compounds may be employed, including, but not limited to, liposomes and emulsions. The pharmaceutical compositions also may comprise suitable solid or gel phase carriers or excipients. Examples of such carriers or excipients include, but are not limited to, calcium carbonate, calcium phosphate, various sugars, starches, cellulose derivatives, gelatin, and polymers such as polyethylene glycols.

Furthermore, one may administer the agent in a targeted drug delivery system, for example, in a liposome coated with tumor-specific antibody. The liposomes will be targeted to and taken up selectively by the tumor.

In cases of local administration or selective uptake, the effective local concentration of the drug may not be related to plasma concentration.

5. **EXAMPLE: Effects of Limonoids, Flavonoids, Tocotrienols and Combinations of each in Four different tumor cell lines**

(a) The effect of nomilin, limonin, naringenin, hesperitin, nobiletin, tangeretin, alpha-tocotrienol, delta-tocotrienol and gamma-tocotrienol on the proliferation and growth of human prostatic tumor DU 145 cells, human colon cancer HT29 cells, the DMS 114 human lung cancer cells and the human SK-MEL-5 melanoma cells was studied in vitro, as measured by the incorporation of [ $^3\text{H}$ ] Thymidine.

Materials: Tissue culture medium and fetal calf serum were purchased from Gibco, Burlington, ON. Thymidine was purchased from ICN, Irvine, CA.

TRF and the individual tocotrienols were obtained from the Palm Oil Research Institute of Malaysia (PORIM), Kuala Lumpur. Hesperetin, nobiletin and tangeretin were obtained from State of Florida, Department of Citrus Lake Alfred, FL. Apigenin, genistein, hesperetin, and naringenin were purchased from the Sigma Chemical Co., St. Louis, MO.

Cell Culture: Each of the four human tumor cell lines were maintained at 37°C in a minimum essential medium, supplemented with 10% (v/v) fetal bovine serum. The medium was equilibrated with a humidified atmosphere of 5% CO<sub>2</sub>. Stock cultures were seeded at a density of  $2 \times 10^5$  cells/ml and allowed to multiply for 48 to 72 hours.

Incorporation of [ $^3\text{H}$ ] Thymidine Into DNA: Each of the four human tumor cell lines were plated at  $5 \times 10^3$  to  $4 \times 10^4$  cells/well (depending on the doubling time of each individual cell line) in 96-well, flat bottomed, culture plates in a total volume of 200  $\mu\text{L}$  of medium and incubated at 37°C for 48 hours with or without test compounds. [ $^3\text{H}$ ] Thymidine (0.5  $\mu\text{Ci}$ /well) was then added and after 4 hours. The cells were removed by trypsinization at specified times and counted using a hemocytometer. The cells were harvested onto a glass fibre filter paper using a semiautomatic 12-well cell harvester (Skatron Inc., Sterling, VA). Radioactivity on the filter paper was counted using Scintiverse in a liquid scintillation

counter. The % of dividing cells was determined as an average of 3 wells for each concentration tested and expressed as a function of the average of the control. For each cell line the concentration at which 50% growth inhibition occurred using each test agent or combination was determined to represent the IC 50 in microgram per ml. Table 1 represents the overall results obtained using the following combinations: limonin, nomilin, naringenin, hesperetin, nobiletin, tangeretin, alpha-tocotrienol, delta-tocotrienol, gamma-tocotrienol, limonin + naringenin, limonin + tangeretin, nomilin + tangeretin, limonin + tangeretin + alpha-tocotrienol, limonin + nobiletin + alpha-tocotrienol, nomilin + naringenin + alpha-tocotrienol, and nomilin + hesperetin + alpha-tocotrienol.

**Results** – The test compounds alone or in combination, had important effects on the proliferation of the four human tumor cell lines in vitro. See Table 1.

In the DU145 prostatic tumor cell line, tangeretin alone or nobiletin alone inhibited these cells most effectively followed by nomilin when the test agents were given alone. When given as combinations, the most effective combination was nomilin + hesperetin + alpha-tocotrienol, followed by limonin + nobiletin + alpha-tocotrienol and nomilin + naringenin, followed by nomilin + hesperetin + alpha-tocotrienol and limonin + tangeretin + alpha-tocopherol, followed by nomilin + tangeretin and limonin + tangeretin, followed by limonin + naringenin.

In the HT29 colon tumor cells, starting with the most active antiproliferative agent, the results were, nomilin + tangeretin, limonin + naringenin, tangeretin, limonin + tangeretin + alpha-tocotrienol, nobiletin, nomilin + hesperetin + alpha-tocotrienol, nomilin + tangeretin, nomilin + naringenin + alpha-tocotrienol, limonin + tangeretin, limonin + nobiletin + alpha-tocotrienol, gamma-tocotrienol, limonin, naringenin, hesperetin and delta-tocotrienol.

In the DMS 114 lung tumor cells, starting with the most active

antiproliferative agent, the results were tangeretin, nobiletin, nomilin + naringenin + alpha-tocotrienol, nomilin + tangeretin, limonin + tangeretin, limonin + nobiletin + alpha-tocotrienol, nomilin + hesperitin + alpha-tocotrienol, nomilin, limonin, naringenin, hesperitin, delta-tocotrienol and alpha-tocotrienol.

In the SK-MEK 5 melanoma cells, starting with the most active antiproliferative activity, the results were nomilin + tangeretin, limonin + tangeretin + alpha-tocopherol, limonin + nobiletin + alpha-tocotrienol, limonin + tangeretin, nobiletin, tangeretin, nomilin + naringenin + alpha-tocotrienol, nomilin + hesperitin + alpha-tocotrienol, gamma-tocotrienol, nomilin, limonin, delta-tocotrienol, limonin + naringenin, and naringenin, hesperitin, or nomilin + naringenin.

Table 1.

Compound	DU 145 (prostate)	HT29 (colon)	DMS 114 (Lung)	SK-MEL-5 (Melanoma)
Limonin	75	60	70	125
nomilin	40	25	35	95
Naringenin	95	75	75	200
Hesperetin	125	95	85	200
Nobiletin	10	7	9	25
Tangeretin	10	5	5	32
$\alpha$ -T3	125	95	95	200
$\delta$ -T3	90	75	90	125
$\gamma$ -T3	75	55	75	95
Limonin + naringenin	100	0.1*	200	100
Limonin + tangeretin	50	25	25	22
Nomilin + naringenin	20*	0.02*	200	200
Nomilin + tangeretin	40	22	30	4*
Limonin + tangeretin + $\alpha$ - T3	33	6	33	6*
Limonin + nobiletin + $\alpha$ - T3	20	30	35	6*

Nomilin + naringenin + $\alpha$ - T3	10*	25*	10*	35*
Nomilin + hesperetin + $\alpha$ - T3	35*	20*	35*	40*

\*Synergistic Combinations IC 50 microgram/ml

Nobiletin alone or tangeretin alone had significant antiproliferative effect in all the four tumor cell lines. In the combinations, nomilin + naringenin + alpha-tocotrienol and nomilin + hesperetin + alpha-tocotrienol had significant anticancer effects in all tumor cell lines. These results indicate that the flavonoids nobiletin or tangeretin have anticancer effects when given alone. In addition, the combination of different flavonoids, limonoids and alpha-tocotrienol demonstrated significant anti-cancer effects in four different tumor cell lines, indicating a potential anticancer effect in general.

The present invention is not to be limited in scope by the embodiments

disclosed in the examples which are intended as an illustration of one aspect of the invention and any methods which are functionally equivalent are within the scope of the invention. Indeed, various modifications of the invention in addition to those shown and described herein will become apparent to those skilled in the art from the foregoing description. Such modifications are intended to fall within the scope of the appended claims.

Various publications are cited herein, the disclosures of which are incorporated by reference in their entireties.



What is claimed is:

1. A pharmaceutical composition suitable for administering to a human subject at risk for or suffering from cancer, said composition comprising an anti-neoplastic effective amounts of a limonoid selected from the group consisting of limonin and nomilin and a flavonoid selected from the group consisting of naringenin, hesperitin, nobiletin and tangeretin.
2. A pharmaceutical composition suitable for administering to a human subject at risk for or suffering from cancer, said composition comprising an anti-neoplastic effective amounts of a limonoid selected from the group consisting of limonin and nomilin and a tocotrienol.
3. A pharmaceutical composition suitable for administering to a human subject at risk for or suffering from cancer according to claim 2, said composition further comprising an anti-neoplastic effective amounts of a flavonoid selected from the group consisting of naringenin, hesperitin, nobiletin and tangeretin.
4. A pharmaceutical composition suitable for administering to a human subject at risk for or suffering from cancer, said composition comprising an anti-neoplastic effective amounts of a flavonoid selected from the group consisting of naringenin, hesperitin, nobiletin and tangeretin and a tocotrienol.
5. A pharmaceutical composition suitable for administering to a human subject at risk for or suffering from cancer, said composition comprising an anti-neoplastic effective amounts of a flavonoid selected from the group consisting of naringenin, hesperitin, nobiletin and tangeretin.

6. The pharmaceutical composition according to claims 2,3 or 4, wherein the tocotrienol is selected from the group consisting of alpha-tocotrienol, gamma tocotrienol, and delta-tocotrienol.

7. A method of treating cancer comprising administering to an individual in need thereof a pharmaceutical composition comprising an antineoplastic effective amounts of a limonoid selected from the group consisting of limonin and nomilin, and a flavonoid selected from the group consisting of naringenin, hesperitin, nobiletin and tangeretin.

8. The method according to claim 7, wherein the amount of the limonoid administered is in the range of 1 to 500 mg/day and the amount of the flavonoid is in the range of 200 to 5000 mg/day.

9. The method according to claim 7, further comprising administering an anti-neoplastic effective amount of a tocotrienol selected from the group consisting of alpha-tocotrienol, gamma tocotrienol, and delta-tocotrienol.

10. The method according to claim 9, wherein the amount of the tocotrienol is in the range of 1 to 1200 mg/day.

11. A method of treating cancer comprising administering to an individual in need thereof a pharmaceutical composition comprising an antineoplastic effective amounts of a limonoid selected from the group consisting of limonin and nomilin, and a tocotrienol selected from the group consisting of alpha-tocotrienol, gamma tocotrienol, and delta-tocotrienol.

12. A method of treating cancer comprising administering to an individual in need thereof a pharmaceutical composition comprising an antineoplastic effective amounts of a tocotrienol selected from the group consisting of alpha-tocotrienol, gamma tocotrienol, and delta-tocotrienol and a flavonoid selected from the group consisting of naringenin, hesperitin, nobiletin and tangeretin.

13. A method of treating cancer comprising administering to an individual in need thereof a pharmaceutical composition comprising an antineoplastic effective amount of nobiletin.

14. A method of treating cancer comprising administering to an individual in need thereof a pharmaceutical composition comprising an antineoplastic effective amount of tangeretin.

15. The method according to claims 8, 9, 11, 12, 13 or 14, wherein the cancer is selected from the group consisting of colon carcinoma, breast cancer, pancreatic cancer, ovarian cancer, prostate cancer, fibrosarcoma, myxosarcoma, liposarcoma, chondrosarcoma, osteogenic sarcoma, chordoma, angiosarcoma, endotheliosarcoma, lymphangiosarcoma, lymphangioendotheliosarcoma, synovioma, mesothelioma, Ewing's tumor, leiomyosarcoma, rhabdomyosarcoma, squamous cell carcinoma, basal cell carcinoma, adenocarcinoma, sweat gland carcinoma, sebaceous gland carcinoma, papillary carcinoma, papillary adenocarcinomas, cystadenocarcinoma, medullary carcinoma, bronchogenic carcinoma, renal cell carcinoma, hepatoma, bile duct carcinoma, choriocarcinoma, seminoma, embryonal carcinoma, Wiims' tumor, cervical cancer, testicular tumor, lung carcinoma, small cell lung carcinoma, bladder carcinoma, epithelial carcinoma, glioma, astrocytoma, medulloblastoma, craniopharyngioma, ependymoma, pinealoma, hemangioblastoma, acoustic neuroma, oligodendroglioma, meningioma, melanoma, neuroblastoma, retinoblastoma; leukemias, e.g., acute lymphocytic leukemia and acute myelocytic leukemia, chronic leukemia; polycythemia vera, lymphoma, multiple myeloma, Waldenstrom's macroglobulinemia, and heavy chain disease.

16. The method according to claims 7, 9, 11, 12, 13 or 14 further comprising an antineoplastic amount of a chemotherapeutic agent.

17. A pharmaceutical composition suitable for administering to a human

subject at risk for or suffering from cancer, said composition comprising an anti-neoplastic effective amounts of a flavonoid selected from the group consisting of naringenin, hesperitin, nobiletin and tangeretin.

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
19 July 2001 (19.07.2001)

PCT

(10) International Publication Number  
**WO 01/51043 A3**

(51) International Patent Classification<sup>7</sup>: **A61K 31/35**,  
31/365, 31/355, A61P 35/00 // (A61K 31/365, 31:35)  
(A61K 31/365, 31:355) (A61K 31/355, 31:35) (A61K  
31/365, 31:355, 31:35)

(21) International Application Number: PCT/IB01/00186

(22) International Filing Date: 12 January 2001 (12.01.2001)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
09/481,963 12 January 2000 (12.01.2000) US

(71) Applicant (for all designated States except US): **KGK SYNERGIZE** [CA/CA]; One London Place, 255 Queens Avenue, St. 1030, London, Ontario N6A 5R8 (CA).

(72) Inventors; and

(75) Inventors/Applicants (for US only): **GUTHRIE, Najla** [CA/CA]; 389 Dundas Street, London, Ontario N6B 3L5 (CA). **KUROWSKA, Elzbieta, Maria** [CA/CA]; 999 St. Croix Avenue, London, Ontario N6H 3X8 (CA).

(81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.

(84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

**Published:**

— with international search report

(88) Date of publication of the international search report:  
30 May 2002

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: COMPOSITIONS AND METHODS FOR TREATMENT OF NEOPLASTIC DISEASES WITH COMBINATIONS OF LIMONOIDS, FLAVONOIDS AND TOCOTRIENOLS

(57) Abstract: Compositions and methods for the prevention and treatment of neoplastic diseases are described. Individuals at a high risk of developing or having neoplasia undergoing conventional therapies may be treated with an effective dose of triterpene derivatives in limonoids, polyphenolic flavonoid compounds, tocotrienols or a combination of these agents.

WO 01/51043 A3

# INTERNATIONAL SEARCH REPORT

International Application No

PC1/IB 01/00186

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 A61K31/35 A61K31/365 A61K31/355 A61P35/00  
 //(A61K31/365,31:35),(A61K31/365,31:355),(A61K31/355,31:35),  
 (A61K31/365,31:355,31:35)

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)  
 IPC 7 A61K A61P

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ, BIOSIS, CHEM ABS Data, MEDLINE

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 99 15167 A (KGK SYNERGIZE INC ;KUROWSKA ELZBIETA MARIA (CA); GUTHRIE NAJLA (CA) 1 April 1999 (1999-04-01) claims 1-7,12,14-17 ---	1-17
X	WO 99 21570 A (KOREA INST SCIENCE TECHNOLOGY) 6 May 1999 (1999-05-06) page 3, line 20 -page 4, line 15 ---	1,5,17
X	WO 98 16220 A (KOREA INST SCIENCE TECHNOLOGY) 23 April 1998 (1998-04-23) claims 1,2 --- -/--	5,17

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

### \* Special categories of cited documents :

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

\*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

\*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

\*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

\*G\* document member of the same patent family

Date of the actual completion of the international search

15 January 2002

Date of mailing of the international search report

22/01/2002

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
 NL - 2280 HV Rijswijk  
 Tel: (+31-70) 340-2040, Tx. 31 651 epo nl,  
 Fax: (+31-70) 340-3016

Authorized officer

Thalmair, M

# INTERNATIONAL SEARCH REPORT

International Application No

PC1/IB 01/00186

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	CARROLL K K ET AL: "ANTICANCER PROPERTIES OF FLAVONOIDS, WITH EMPHASIS ON CITRUS FLAVONOIDS" ANTIOXIDANTS IN HEALTH AND DISEASE, MARCEL DEKKER, NEW YORK, NY,, US, vol. 7, 1998, pages 437-446, XP001000063 ISSN: 1521-4486 page 445	4-6, 13-15,17
X	----- GUTHRIE ET AL: "Abstract 1907: combined effect of palm oil tocotrienols, flavonoids and tamoxifen on the proliferation of estrogen receptor-positive MCF-7 human breast cancer cells" PROCEEDINGS OF THE 87TH. ANNUAL MEETING OF THE AMERICAN ASSOCIATION FOR CANCER RESEARCH. WASHINGTON, APR. 20 - 24, 1996, PROCEEDINGS OF THE ANNUAL MEETING OF THE AMERICAN ASSOCIATION FOR CANCER RESEARCH, PHILADELPHIA, AACR, US, vol. MEETING 87, March 1996 (1996-03), page 280 XP002099426 ISSN: 0197-016X whole abstract	4-6,12, 15,17
X,P	----- GUTHRIC N ET AL: "IN VITRO STUDIES ON ANTI-CANCER AND CHOLESTEROL-LOWERING ACTIVITIES OF CITRUS FLAVONOIDS AND ILINONOIDS" FASEB JOURNAL, FED. OF AMERICAN SOC. FOR EXPERIMENTAL BIOLOGY, BETHESDA, MD, US, vol. 14, 15 March 2000 (2000-03-15), page A563 XP000996472 ISSN: 0892-6638 whole abstract	1,5,7, 13-15,17
X	----- BRACKE M E ET AL: "CITRUS FLAVONOID EFFECT ON TUMOR INVASION AND METASTASIS THE CITRUS FLAVONOID TANGERETIN MAY INHIBIT THE PROCESSES THAT SHORTEN THE LIFE EXPECTANCY OF TUMOR-BEARING PATIENTS" FOOD TECHNOLOGY, INSTITUTE OF FOOD TECHNOLOGISTS. CHICAGO, US, vol. 48, no. 11, 1 November 1994 (1994-11-01), pages 121-124, XP000483355 ISSN: 0015-6639 figure 4	5,14,15, 17
Y		1,7
Y	----- DE 39 22 666 A (TOYOTAMA PERFUMERY CO) 22 March 1990 (1990-03-22) claims 2,4 -----	1,7

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/IB 01/00186

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
WO 9915167	A	01-04-1999	US 6251400 B1 AU 9455798 A EP 1049464 A2 WO 9915167 A2 US 6239114 B1	26-06-2001 12-04-1999 08-11-2000 01-04-1999 29-05-2001
WO 9921570	A	06-05-1999	KR 258584 B1 BR 9814105 A CN 1278182 T EP 1024819 A1 JP 2001521003 T WO 9921570 A1 US 2001014357 A1 US 2001002264 A1 CN 1278170 T EP 1063988 A1 JP 2001520993 T WO 9921549 A1 CN 1278171 T EP 1032381 A1 JP 2001520992 T WO 9921548 A1 US 6165984 A	01-07-2000 03-10-2000 27-12-2000 09-08-2000 06-11-2001 06-05-1999 16-08-2001 31-05-2001 27-12-2000 03-01-2001 06-11-2001 06-05-1999 27-12-2000 06-09-2000 06-11-2001 06-05-1999 26-12-2000
WO 9816220	A	23-04-1998	KR 213895 B1 CN 1233182 A CN 1233174 A CN 1233175 A EP 0957911 A1 EP 1014968 A1 EP 0930889 A1 JP 2001502320 T JP 2001502321 T JP 2001502322 T WO 9816220 A1 WO 9816221 A1 WO 9816239 A1 KR 213898 B1 KR 213899 B1 US 5792461 A US 5763414 A US 5877208 A	02-08-1999 27-10-1999 27-10-1999 27-10-1999 24-11-1999 05-07-2000 28-07-1999 20-02-2001 20-02-2001 20-02-2001 23-04-1998 23-04-1998 23-04-1998 15-03-2000 15-03-2000 11-08-1998 09-06-1998 02-03-1999
DE 3922666	A	22-03-1990	JP 2083320 A JP 2724333 B2 CH 678919 A5 DE 3922666 A1 FR 2636533 A1 GB 2222769 A , B US 5041425 A	23-03-1990 09-03-1998 29-11-1991 22-03-1990 23-03-1990 21-03-1990 20-08-1991



**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

### **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☒ **BLACK BORDERS**
- ☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- ☐ **FADED TEXT OR DRAWING**
- ☒ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- ☐ **SKEWED/SLANTED IMAGES**
- ☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- ☐ **GRAY SCALE DOCUMENTS**
- ☐ **LINES OR MARKS ON ORIGINAL DOCUMENT**
- ☒ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- ☐ **OTHER:** \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**